



2724
Atty. Docket No. COR20 P400
8624
#4

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231, on the date indicated below.

12/12/2001
Date

Kimberley Cousineau
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Technology Center 2600

Art Unit : 2874
Applicant : Scott M. Hellman et al.
Appln. No. : 09/945,314
Filed : August 31, 2001
Confirmation No. : 2724
For : MULTI-PORT OPTICAL PACKAGE AND DWDM PACKAGE

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed more than three months after the filing date of the above-referenced application but, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed document are submitted herewith along with Form PTO-1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

TC 3700 MAIL ROOM
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Applicant : Michael Ushinsky
Appln. No. : 09/945,314
Page -2-

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

MICHAEL USHINSKY

By: Price, Heneveld, Cooper,
DeWitt & Litton

12-12-07

Date

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Sheet 1 of 1

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
TO-1449
(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
COR20 P400

SERIAL NO.
09/945,314

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

APPLICANT
Scott M. Hellman et al.

FILING DATE
08/31/2001 GROUP
2874

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 1 9 2 1 7 5	02/20/2001	Li et al.			10 31 2001 RECEIVED MAIL ROOM
	6 1 7 3 1 0 6	01/09/2001	DeBoynton et al.			
	6 1 3 4 3 6 0	10/17/2000	Cheng et al			
	6 0 8 4 9 9 4	07/04/2000	Li et al.			
	5 9 1 7 6 2 6	06/29/1999	Lee			
	5 7 9 9 1 2 1	08/25/1998	Duck et al.			
	5 7 6 8 4 5 8	06/16/1998	Ro et al.			
	5 7 3 7 1 0 4	04/07/1998	Lee et al.			
	5 6 9 2 0 8 1	11/25/1997	Takahashi			
	5 6 8 2 4 5 2	10/28/1997	Takahashi			
	5 6 7 5 6 8 3	10/07/1997	Takahashi et al.			DEC 31 2001 RECEIVED Technology Center 2600
	5 6 1 9 6 0 5	04/08/1997	Ueda et al.			
	5 6 1 5 0 5 2	03/25/1997	Doggett			
	5 6 0 4 6 2 9	02/18/1997	Hunter et al.			
	5 5 0 2 7 8 1	03/26/1996	Li et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
						NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		Structural Analysis in Microelectronics and Fiber Optics - 1997- (Pages 34-54 of The Electrical and Electronic Packing Division - Vol. 21)
		8 pages from www.ma.man.ac.uk
		5 pages From Fluent Incorporated Website
		Publication entitled APPLICATIONS OF GRIN-ROD LENSES IN OPTICAL FIBER COMMUNICATIONS SYSTEMS (Pages 1127 -1136; dated April 1980)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])